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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/889,755	10/10/2001	Ole Hjertholm	U 013557-0	8934

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NEW YORK, NY 10023

EXAMINER

PICKARD, ALISON K

ART UNIT	PAPER NUMBER
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3676

DATE MAILED: 07/16/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/889,755

Applicant(s)

HJERTHOLM, OLE

Examiner

Alison K. Pickard

Art Unit

3676

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 15-21 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 15-21 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on ____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. ____.
3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). ____
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) ____ 6) ☐ Other:

DETAILED ACTION

Claim Objections

1. Claims 16-18 and 20 are objected to because of the following informalities: claim 16 recites "stop-forming" conical support faces (21 and 25), although there does not appear to be antecedent basis for this. Also, it appears that claim 20 should depend from claim 19, not 18, to provide proper antecedent basis. Appropriate correction is required.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. Claims 15, 16, 18, and 21 are rejected under 35 U.S.C. 102(b) as being anticipated by Watts (2,766,998).

Watts discloses a sealing arrangement comprising two armature members, a sealing ring, and a clamping means (clamp 80 or threads such as in Figure 3). The sealing ring is made of metal and has a T-shaped cross-section with two axial extending wings (e.g. 80 and 82) and a central, rigid stem 67. The wings each have conical sealing faces 84 and 86 that correspond to conical gliding and support faces 76 and 66 in the members. The conical sealing faces extend under an angle greater than that of the support faces to form a tight seal (see, for example, Fig. 5). Each wing has the same axial extent as the faces. Each face is continuous in its axial extent. Each wing is elastically deformable such that after mounting, the wings extend under a different angle (i.e., that of the faces) (see col.5, line 70 through col. 6, line 47). The wings have a cross-

Art Unit: 3676

section that increases toward the stem and have a large axial cross-section such that they extend along a major area of the respective support faces. As seen in Figure 5, the stem has a large radial and axial cross-section to offer rigidity. As seen in figures 3 or 5, the entire stem and one wing is received and seated in one member and the other wing is received and seated in the other member.

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 15-21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Galle (5,570,911) in view of Watts.

Galle discloses a sealing arrangement comprising two separate armature members 15, 17, a sealing ring 45, and a clamping device 31. The sealing ring is metal and has a T-shaped cross-section with two opposite axial extending wings and a stem. The stem is provided with a gliding and support face 57 that is supported against a counter face 41 in only one of the members 11. The entire stem is seated in the member 11. The armature members have overlapping portions and support each other along mutually opposite conical support surfaces 37, 65 to provide a stop (seen best in Fig. 6). Galle does not disclose that the sealing ring has wings with conical sealing faces that extend under a different angle than when mounted and are elastically deformable. Watts teaches a sealing arrangement comprising two separate armature members, a sealing ring, and a clamping device. The sealing ring has wings with conical sealing faces. Watts teaches

Art Unit: 3676

making the sealing faces 84, 86 with first angle that is greater than the angle of the conical support faces 76, 66 on the members. Watts teaches that the wings are elastically deformable so that after mounting, the wings extend at a different angle (i.e. that of the support faces). Watts teaches that the sealing faces have the same continuous, rectilinear, axial extension as the wings (see, for example, Fig. 5 at point C to edge of lip near line A). Watts teaches that this configuration ensures a tight fit between the surfaces (e.g. 76 and 84) creating an effective seal (see col. 6, lines 1-34). Also, with this union, pressure from inside the members further enhances the seal. Therefore, it would have been obvious for one of ordinary skill in the art at the time the invention was made to modify the shape of the wings of the sealing member of Galle with the shape and angles taught by Watts to improve the sealing effectiveness of the arrangement.

Response to Arguments

6. Applicant's arguments filed 4-25-03 have been fully considered but they are not persuasive.

Applicant argues that the references do not disclose that the entire rigid stem and the first sealing wing are seated in the first armature while the second wing is seated in the second armature. The examiner disagrees. At least Figures 3-5 of Watts '998 show the stem 67 and wing 82 seated in armature 56 (wherein 58 is part of the armature) and wing 80 seated in armature 71. At least Figure 6 of Galle '911 shows the entire stem and one wing seated in armature 19 and the other wing seated in armature 21. Further, Watts and Galle in view of Watts disclose the other required limitations of the claimed invention as set forth above.

Art Unit: 3676

Conclusion

7. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alison K. Pickard whose telephone number is 703-305-0882. The examiner can normally be reached on M-F (9-6:30), with alternate Friday's off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anthony Knight can be reached on 703-308-3179. The fax phone numbers for the organization where this application or proceeding is assigned are 703-872-9326 for regular communications and 703-872-9327 for After Final communications.

Art Unit: 3676

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 308-1113.



Alison K. Pickard
Examiner
Art Unit 3676

AP
July 13, 2003